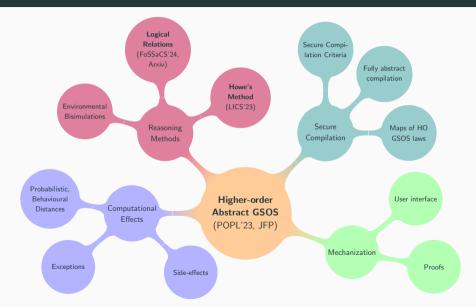
in Higher-order Mathematical Operational Semantics

Sergey Goncharov, Alessio Santamaria, Lutz Schröder, **Stelios Tsampas** and Henning Urbat FoSSaCS 2024

Friedrich-Alexander-Universität Erlangen-Nürnberg

Higher-Order Mathematical Operational Semantics (or HO Abstract GSOS)



- 1. An operational semantics of a higher-order language
 - Typically a typed λ -calculus.
 - Write $\Lambda_{\tau}(\Gamma)$ for the set $\{t \mid \Gamma \vdash t \colon \tau\}$ and Λ_{τ} for the set $\{t \mid \varnothing \vdash t \colon \tau\}$.

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 - "For any term t and s in $\Box P$ and of the suitable type, $t \cdot s$ is also in $\Box P$ ".
- 4. Proceed by induction to prove that (the open extension of) $\Box P$ holds.

Strong Normalization

Definition (A standard logical predicate)

$$\mathrm{SN}_{\mathsf{unit}}\left(t\right) = \downarrow_{\mathsf{unit}}\left(t\right) \ \mathrm{SN}_{ au_1
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Definition (A standard logical predicate)

Definition (Open extension of SN)

$$ec{\mathrm{SN}}_{ au}(t)(\Gamma) = \mathsf{For} \ \mathsf{any} \ \mathsf{closed} \ \mathsf{substitution} \ (\varnothing \vdash e_n \colon \Gamma(n))_{n \in |\Gamma|}$$
 such that $\forall n \in |\Gamma| \cdot \mathrm{SN}_{\Gamma(n)}(e_n), \ \mathsf{then} \ \mathrm{SN}_{ au}(t[e_n/x_n])$

4

Strong Normalization

One annoying case of the proof is that of λ -abstraction $\Gamma \vdash \lambda x \colon \tau_1.t \colon \tau_1 \to \tau_2$. Given a substitution $(\varnothing \vdash e_n \colon \Gamma(n))_{n \in |\Gamma|}$ satisfying SN, we have to:

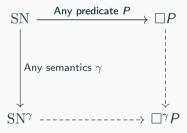
• Push the substitution inside the λ -abstraction, try to prove that the whole term is in SN, for that reason consider what happens when we have terms such as $(\lambda x \colon \tau_1.t') \cdot s$ with $SN_{\tau_1}(s)$ for the substituted t', think back to what happens during β -reduction, reflect on properties of substitution etc.

 ${\sf Complex\ language} \implies {\sf complex\ argument...}$

The goal of this talk

I will argue for two directions of abstraction, via

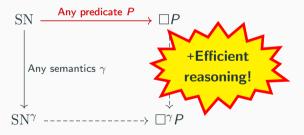
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$$\Downarrow_{\mathsf{unit}}(t) = \Downarrow_{\mathsf{unit}}(t)$$
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Idea : Abstract away from the predicate \Downarrow

$$\Box P_{\mathsf{unit}}\left(t\right) = P_{\mathsf{unit}}\left(t\right)$$

$$\Box P_{\tau_{1} \to \tau_{2}}\left(t\right) = P_{\tau_{1} \to \tau_{2}} t \land \left(\forall s \colon \tau_{1}.\ t \stackrel{s}{\Rightarrow} t' \land \Box P_{\tau_{1}}\left(s\right) \implies \Box P_{\tau_{2}}\left(t'\right)\right)$$

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Induction up to **□** on STLC

Theorem

Let $P \rightarrow \Lambda$ be any predicate on closed terms. Then P is true if all of the following are true:

- 1. the unit expression e: unit satisfies $\bigoplus_{unit} P$ P_{unit} ,
- 2. for all closed application terms t s such that $\Box_{\tau_1 \to \tau_2} P(t)$ and $\Box_{\tau_1} P(s)$, we have $\Box_{\tau_2} P(ts)$ $P_{\tau_2}(ts)$, and
- 3. for all λ -abstractions $\lambda x \colon \tau_1. t \colon \tau_1 \to \tau_2$, such that $\lambda x \colon \tau_1. t$ is in the open extension of $\Box P$ and given a substitution \vec{e} that satisfies $\Box P$, $(\lambda x \colon \tau_1. t)[\vec{e}/\vec{x}]$, we have that $(\lambda x \colon \tau_1. t)[\vec{e}/\vec{x}]$ is in $\Box P$, P.

Proof.

Instantiate Th. 36 with $(\text{Th}36.P)_{\tau}(\varnothing) = P_{\tau}$ and $(\text{Th}36.P)_{\tau}(\Gamma \neq \varnothing) = \top$.

Let's try this out!

Proving strong normalization for STLC

- 1. $\Downarrow_{\mathsf{unit}} (\mathsf{e});$
- 2. $\Downarrow_{\tau_2} (t s)$ with $\square_{\tau_1 \rightarrow \tau_2} \Downarrow (t)$ and $\square_{\tau_1} \Downarrow (s)$;
- 3. $\psi_{\tau_1 \to \tau_2}(\lambda x : \tau_1. t)$ (what t can do is irrelevant in this case).

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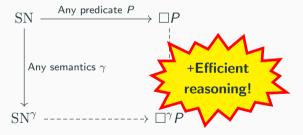
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Proof.

(1) and (3) are trivial, (2) is straightforward once you realize that $\Box Q$ is an **invariant** w.r.t. \rightarrow for all Q.

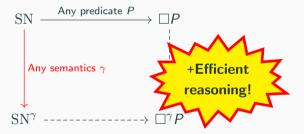
Objective Complete

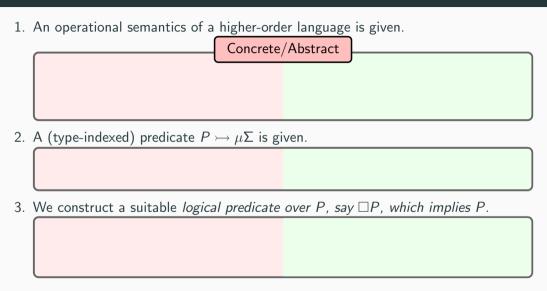
Let's explore the other direction



Objective Complete

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1. An operational semantics of a higher-order language is given.

 ${\sf Concrete}/{\sf Abstract}$

- (The model generated by) Operational Rules $\frac{t \rightarrow t'}{t \cdot s \rightarrow t' \cdot s}$
- 2. A (type-indexed) predicate $P \mapsto \mu \Sigma$ is given.

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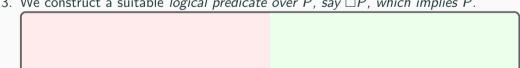
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i. Coalgebra $\gamma \colon \mu \Sigma \to B(\mu \Sigma, \mu \Sigma)$,

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- Generic predicate transformer $\Box^{\gamma,\overline{B}} \colon \mathsf{Pred}_{u\Sigma}(\mathcal{C}) \to \mathsf{Pred}_{u\Sigma}(\mathcal{C})$

(Vanilla) Logical Predicates proof method in the abstract

Assuming the following:

- 1. An initial algebra (object of terms) $\Sigma \mu \Sigma \xrightarrow{\iota} \mu \Sigma$,
- 2. an "operational semantics" morphism $\mu\Sigma \to B(\mu\Sigma, \mu\Sigma)$ for some bifunctor $B\colon \mathcal{C}^{\mathsf{op}} \times \mathcal{C} \to \mathcal{C}$,
- 3. and logical predicates $\square(-)$,

the proof method of logical predicates amount to the following:

Fundamental Property

As initial algebras have no proper subalgebras, then

$$\overline{\Sigma}(\Box P) \leq \iota^{\star}[\Box P] \implies \Box P \cong \mu \Sigma \implies P \cong \mu \Sigma.$$

Categorical machinery

$$B(X,Y): \mathcal{C}^{\mathsf{op}} \times \mathcal{C} \to \mathcal{C} \quad \gamma: \mu\Sigma \to B(\mu\Sigma, \mu\Sigma)$$

 $B(X,Y) = Y + Y^X \quad \gamma(t) = t' \text{ if } t \to t' \text{ and } \gamma(\lambda x.M) = (e \mapsto M[e/x])$

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For example, $\overline{B}(P,Q) \subseteq \mu\Sigma + \mu\Sigma^{\mu\Sigma}$ is the disjoint union of (i) the set $\{t \mid Q(t)\}$ and (ii) the set of functions $f \in \mu\Sigma^{\mu\Sigma}$ that map inputs in P to outputs in Q.

Relative invariant

Let $c: Y \to B(X, Y)$ be a B(X, -)-coalgebra. Given predicates $S \mapsto X$, $P \mapsto Y$, we say that P is an S-relative $(\overline{B}$ -)invariant (for c) if

$$P \leq c^*[\overline{B}(S,P)].$$

Logical Predicate

A predicate $P \rightarrow \mu \Sigma$ is logical (for γ) if it is a P-relative \overline{B} -invariant.

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- 2. For all s, if $t \stackrel{s}{\to} t'$ and P(s), then P(t').

One logical predicate to rule them all

The □

Under certain conditions, the most important being that the predicate lifting \overline{B} is **predicate-contractive**, for every predicate $P \rightarrowtail X$ on the state space of our coalgebra $X \to B(X,X)$ (i.e. a program property), there exists a certain "large" predicate $\Box P$ such that:

- 1. $\Box P \leq P$
- 2. $\Box P \leq c^*[\overline{B}(\Box P, \Box P)]$ (i.e. $\Box P$ is logical)
- 3. $\Box P$ is the largest $\Box P$ -relative invariant.

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Conclusion/translation: The lifting being defined inductively on types is sufficient for the existence of this magical, suitable logical predicate.

Induction up to \square

The definition of logicality and \square systematizes the logical predicates proof method, but where is the "efficient reasoning"?

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Induction up to .

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Thank you!